

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:)	Atty. Docket No.: WMS-028
)	
Larry J. Pacey)	Examiner: Not Assigned
)	
Application No.: Not Assigned)	Group Art Unit: Not Assigned
)	
Filed: October 10, 2003)	
)	
For: GAMING MACHINE HAVING)	
AN AWARD-TRADING SCHEME)	

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.98**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:


In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered, and the references listed on enclosed Form PTO-1449 be considered by the Examiner and made of record. In accordance with 37 C.F.R. § 1.98(d), copies of the listed references are enclosed.

In accordance with 37 C.F.R. §§ 1.97(g) and (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, or an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability, as defined in 37 C.F.R. § 1.56.

This Information Disclosure Statement is being filed prior to receipt of a first Office Action reflecting an examination on the merits and, hence, is believed to be timely in accordance with 37 C.F.R. § 1.97(b). Accordingly, no fee is believed to be due. Should any fee be deemed necessary (except payment of the issue fee), however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 502596 (WMS-028). A duplicate copy of this Statement is enclosed for that purpose.

Respectfully submitted,

Date: 10/10/03



Michael J. Blankstein
Reg. No. 37,097
WMS Gaming Inc.
Chicago, IL 60618
3401 N. California Ave.
(773) 961-1480
Attorney for Applicant

Form PTO-1449 (modified) List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT Page 1 of 3	Atty. Docket No. WMS-028	Serial No. Unassigned
	Applicant Larry J. Pacey	
	Filing Date: October 10, 2003	Group: Unassigned

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A01	6,186,894 B1	02/13/2001	Mayeroff	463	20	07/08/1998
	A02	6,309,300 B1	10/30/2001	Glavich	463	26	05/04/2000
	A03	6,311,976 B1	11/06/2001	Yoseloff et al.	273	138.2	09/01/2000
	A04	2002/0025848 A1	02/28/2002	Graham et al.	463	25	03/29/2001
	A05	2002/0045475 A1	04/18/2002	Glavich et al.	463	20	10/15/2001
	A06	6,439,995 B1	08/27/2002	Hughs-Baird et al.	463	20	09/07/2000
	A07	2002/0155880 A1	10/24/2002	Glavich et al.	463	20	03/14/2002
	A08	6,506,118 B1	01/14/2003	Baerlocher et al.	463	25	08/24/2001
	A09	2003/0013519 A1	01/16/2003	Bennett	463	25	01/18/2001
	A10	6,514,141 B1	02/04/2003	Kaminkow et al.	463	25	10/06/2000
	A11	2003/0036423 A1	02/20/2003	Vancura	463	20	06/03/2002
	A12	2003/0036424 A1	02/20/2003	Baerlocher	463	25	05/31/2002
	A13	2003/0040355 A1	02/27/2003	Baerlocher	463	20	08/24/2001
	A14	2003/0040360 A1	02/27/2003	Kaminkow	463	25	09/13/2002
	A15	2003/0045343 A1	03/06/2003	Luccesi et al.	463	20	08/31/2001
	A16	2003/0045344 A1	03/06/2003	Webb et al.	463	20	08/31/2001
	A17	2003/0045348 A1	03/06/2003	Palmer et al.	463	25	08/31/2001
	A18	2003/0045349 A1	03/06/2003	Hughs-Baird	463	25	08/20/2002
	A19	2003/0045350 A1	03/06/2003	Baerlocher et al.	463	25	09/11/2002

Foreign Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B01						
	B02						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)		
Exam. Init.	Ref. Des.	Citation
	C01	
	C02	

EXAMINER: EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	DATE CONSIDERED:
---	---------------------------------

Form PTO-1449 (modified) List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT Page 2 of 3	Atty. Docket No. WMS-028	Serial No. Unassigned
	Applicant Larry J. Pacey	
	Filing Date: October 10, 2003	Group: Unassigned

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A20	2003/0054876 A1	03/20/2003	Hughs-Baird et al.	463	20	07/15/2002
	A21	2003/0060253 A1	03/27/2003	Kaminkow et al.	463	16	09/26/2001
	A22	2003/0060254 A1	03/27/2003	Cuddy et al.	463	16	09/26/2001
	A23	2003/0060255 A1	03/27/2003	Baerlocher et al.	463	16	09/26/2001
	A24	2003/0060272 A1	03/27/2003	Glavich et al.	463	25	09/21/2001
	A25	2003/0060275 A1	03/27/2003	Hughs-Baird	463	25	09/21/2001
	A26	2003/0060277 A1	03/27/2003	Webb et al.	463	25	09/03/2002
	A27	2003/0064777 A1	04/03/2003	Baerlocher et al.	463	16	11/06/2002
	A28	2003/0073477 A1	04/17/2003	Baerlocher et al.	463	16	10/15/2001
	A29	2003/0073482 A1	04/17/2003	Baerlocher et al.	463	20	10/15/2001
	A30	2003/0073483 A1	04/17/2003	Glavich et al.	463	20	02/08/2002
	A31	2003/0078093 A1	04/24/2003	Simms et al.	463	20	09/26/2002
	A32	2003/0078096 A1	04/24/2003	Kaminkow et al.	463	25	11/27/2002
	A33	2003/0085514 A1	05/08/2003	Yoseloff et al.	273	143 R	10/22/2002
	A34	2003/0087693 A1	05/08/2003	Baerlocher et al.	463	25	12/12/2002
	A35	6,569,015 B1	05/27/2003	Baerlocher et al.	463	16	07/27/2000
	A36	6,569,016 B1	05/27/2003	Baerlocher	463	16	10/04/2000
	A37	6,575,830 B2	06/10/2003	Baerlocher et al.	463	20	06/17/2002
	A38	6,582,306 B1	06/24/2003	Kaminkow	463	20	07/27/2000
Foreign Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B01						
	B02						
Other Art (Including Author, Title, Date Pertinent Pages, Etc.)							
Exam. Init.	Ref. Des.	Citation					
	C01						
	C02						

EXAMINER:	DATE CONSIDERED:
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

Form PTO-1449 (modified)	Atty. Docket No. WMS-028	Serial No. Unassigned
	Applicant Larry J. Pacey	
	Filing Date: October 10, 2003	Group: Unassigned
	List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT	

Page 3 of 3

U.S. Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A39	6,595,854 B2	07/22/2003	Hughs-Baird et al.	463	20	07/15/2002
	A40	6,599,185 B1	07/29/2003	Kaminkow et al.	463	16	10/16/2000
	A41	6,599,192 B1	07/29/2003	Baerlocher et al.	463	25	10/16/2000
	A42	6,602,135 B1	08/05/2003	Gerrard	463	16	10/06/2000
	A43	6,602,137 B2	08/05/2003	Kaminkow et al.	463	16	09/26/2001
	A44	6,605,002 B2	08/12/2003	Baerlocher	463	25	09/20/2001
	A45	2003/0153378 A1	08/14/2003	Schlegel et al.	463	16	02/08/2002
	A46	2003/0153383 A1	08/14/2003	Baerlocher et al.	463	25	04/12/2002
	A47	6,607,438 B2	08/19/2003	Baerlocher et al.	463	16	09/28/2001
	A48	6,609,971 B2	08/26/2003	Vancura	463	16	07/18/2001
	A49	2003/0181233 A1	09/25/2003	Baerlocher et al.	463	16	03/12/2003

Foreign Patent Documents							
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B01						
	B02						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)		
Exam. Init.	Ref. Des.	Citation
	C01	
	C02	

EXAMINER:	DATE CONSIDERED:
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	